

**Policy Title:** Northern Research Endowment Fund

Policy Approver:

Policy Holder: Vice-President, Research

Category: Academic

Original Date: September 1992

Last Revised: June 2014

Next Review: January 2019

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### Policy Statement

Yukon University is committed to expanding northern research and innovation opportunities and strives to increase the capacity and culture to conduct research within the University and throughout Yukon.

The University requires procedures to ensure that research activities supported through the Northern Research Endowment Fund are undertaken with the goal to advance the University's goals and academic mandate, meet the requirements for ethical research practices, and provide demonstrable benefits to Yukon.

### Approval Statement

With the consent of the Senior Executive Committee and approval of the President of Yukon College, this policy is hereby deemed in effect the 11<sup>th</sup> day of June, 2014.

Karen Barnes

June 11, 2014

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President, Yukon College

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Date

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Version: June 2014

Revised: May 2001

Original Date: September 1992

Revised:

Next Review: January 2019

Revised:

Policy Holder: Vice-President Research

Revised:

## 1. Purpose of Policy

Yukon University is committed to developing and maintaining administrative and operational policies and to ensuring such policies reflect the University's values, legal, and ethical requirements and purpose.

This policy works in conjunction with federal, territorial, and University standards to describe the requirements for research activities undertaken at Yukon University that are funded through the Northern Research Endowment Fund to ensure such activities advance the University's goals and academic mandate, meet the requirements for ethical research practices, and provide demonstrable benefits to Yukon.

## 2. Governing Legislation and Relevant Documents

Yukon University Act

BOG 3.0 – Yukon University President Responsibilities

AR 1.0 University Role in Research

AR 2.0 Research Integrity

AR 3.0 Research Ethics Policy

AR 4.0 Animal Welfare Policy

AR 5.0 Intellectual Property Policy

Tri-Council Policy Statement: Integrity in Research and Scholarship, ACUNS (Association of Canadian Universities for Northern Studies) Ethical Principles for the Conduct of Research in the North

Protocols and Principles for Conducting Research with Yukon First Nations Yukon Scientists and Explorers Act

Canadian Copyright Act

Guide to Patents, Industry Canada

## 3. Scope

This policy applies to all members of the University community who are actively engaged in research activities that are funded, in whole or in part, through the Northern Research Endowment Fund, and/or actively planning and preparing to engage in such activities.

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#### 4. Definitions

N/A

#### 5. Procedures

Yukon University is committed to expanding northern research and innovation opportunities. All research undertaken at Yukon University will adhere to the academic and ethical standards and principles described in the following Yukon University policies:

- AR-02 Research Integrity Policy;
- AR-03 Research Ethics Policy;
- AR-04 Animal Welfare Policy;
- AR-05 Intellectual Property Policy.

The following procedures describe the requirements for awarding research fellowships that are funded through the Northern Research Endowment Fund.

##### 5.1. Northern Research Endowment Fund

A sum of \$1,000,000 is endowed in perpetuity by the Government of Yukon to the Yukon University.

Five percent of interest earnings will be applied, in the form of research fellowships, to Yukon-based academic and scholarly research in the humanities, social, pure, and applied sciences, that is directly relevant to the Yukon.

##### 5.2. Criteria for Awarding of Fellowships

The proposed research will

- Be directly relevant to the Yukon;
- Have a Northern theme or an impact on the North with a priority for Yukon issues or concerns;
- Be in the domain of humanities, social, pure and applied sciences;
- Be scholarly.

The researcher will preferably be a Yukon resident.

Non-resident Fellowships may be awarded provided the research project will significantly involve the collaboration of Yukon residents.

When submitting an application for a research fellowship that is to be funded from the Northern Research Endowment Fund:

- All applications will be made to the Director of Research Services, Yukon Research Centre;
- An adjudication committee under the direction of the President will:
  - Review all applications,
  - Assess the proposals in light of the criteria,
  - Assess the funding levels,
  - Select and recommend, to the President, applicants for the fellowships available within financial limitations, and, on approval,
  - Notify all applicants.

The adjudication committee is also responsible for the following activities where required and appropriate:

- Seek clarifications;
- Suggest revisions to proposals;
- Establish conditions of funding;
- Establish evaluation criteria;
- Evaluate the research on completion.

## **7. Problem Solving**

Any questions or concerns arising out of the intent, content, implementation, or communication of this policy should be reported to the Vice-President, Research.

Where a concern or dispute arises from a decision made as a result of applying or enforcing this policy, the Vice-President, Research should be notified as soon as possible after the decision has been made. In such cases all reasonable attempts should be made to resolve any disagreements cooperatively with the Vice- President, Research or designate. Where informal resolution is not possible, the matter will be resolved under the authority of the

Senate following the procedures described in the relevant sections of the Yukon College Academic Regulations and Procedures.

Where a concern or dispute arises over matters of integrity in research and scholarly activities, such concerns will be addressed by following the procedures described in the relevant sections of Yukon University policy, AR-02 Research Integrity.

Where a concern or dispute arises over matters of ethics in research and scholarly activities, researchers have the right to request a reconsideration of any decisions made that affect their research project. In such cases the relevant sections of Yukon University policy, AR-03 Research Ethics Policy apply.

Where a concern or dispute arises over a matter of animal welfare in research and scholarly activities, a Principal Investigator (PI) may appeal a decision by following the procedures described in Yukon University policy, AR-04 Animal Welfare Policy.

Where a dispute(s) arises over the attribution of academic credit and/or Intellectual Property (IP) rights created in the course of performing research and scholarly activities, contributors are required to seek advice and informal resolution of the matter if possible from the Vice-President, Research or designate. In such cases the procedures defined in Yukon University policy, AR-05 Intellectual Property Policy apply.

## **8. Other Related and/or Accompanying Documents**

Addendum A - Policy Communication Checklist

## ADDENDUM A - POLICY COMMUNICATION CHECKLIST

Policy Name: Northern research endowment fund

Number: AR 6.0

Submitted by: Clint Sawicki

List those consulted with in preparation of this policy:

Name	Department	Date
YC Staff and Faculty	All	May 2014

The order for communication and/or consultation for a new or revised policy is as follows:

1. SEC – initial review and recommendations from SEC membership;
2. Identified stakeholders within Yukon College in order of priority – see below;
3. SEC – to be briefed on any issues arising out of stakeholder consultations;
4. Staff – SEC members to bring policy to their staff for feedback (*SEC member introducing this policy is responsible for sending to SEC, requesting that it be circulated to their staff for feedback*);
5. SEC – final draft supported by SEC membership and approved by the President.

This checklist must be completed prior to the final draft of a policy being presented to SEC for presidential approval.

Body	Communication Planned	Completed	Comments
SEC	Send to YC Staff	May 2014	
Student Union			
Employee's Union			
Occupational Health and Safety			
Academic Council			
Board or a Board subcommittee			
<i>Other</i>	YC Staff and Faculty	May 2014	
SEC for Final Review	Final approval	June 2014	

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