

Policy Title: University Role in Research
Policy Approver:
Policy Holder: Vice-President, Research
Category: Academic
Original Date: October 1992
Last Revised: June 2014
Next Review: January 2019

Policy Statement

Yukon University is committed to expanding northern research and innovation opportunities and strives to increase the capacity and culture to conduct research within the University and throughout Yukon.

The University requires procedures to ensure that research activities are undertaken with the goal to advance the University's Strategic Direction and Goals, academic mandate, meet the requirements for ethical research practices, and provide demonstrable benefits to Yukon.

Approval Statement

With the consent of the Senior Executive Committee and approval of the President of Yukon College, this policy is hereby deemed in effect the 11th day of June, 2014.

Karen Barnes

June 11, 2014

President, Yukon College

Date

1. Purpose of Policy

Yukon University is committed to developing and maintaining administrative and operational research policies and to ensuring such policies reflect the University's values, legal, and ethical requirements and purpose.

2. Governing Legislation and Relevant Documents

Yukon University Act

BOG 3.0: Yukon University President Responsibilities

AR 2.0 Research Integrity

AR 3.0 Research Ethics Policy,

AR 4.0 Animal Welfare Policy

AR 5.0 Intellectual Property Policy

AR 6.0 Northern Research Endowment Fund

Tri-Council Policy Statement: Integrity in Research and Scholarship, ACUNS (Association of Canadian Universities for Northern Studies) Ethical Principles for the Conduct of Research in the North

Yukon Scientists and Explorers Act

Canadian Copyright Act

Guide to Patents, Industry Canada

Yukon University Strategic Directions and Goals

Protocols and Principles for Conducting Research with Yukon First Nations

3. Scope

This policy applies to all members of the University community who are actively engaged in research activities and/or actively planning and preparing to engage in such activities.

4. Definitions

N/A

5. Procedures

Yukon University is committed to expanding northern research and innovation opportunities. All research undertaken at Yukon University will adhere to the principles and procedures described in the Yukon University academic research policies - see Section 2.0 Governing Legislation and Relevant Documents.

The following procedures describe the requirements for research activities undertaken at Yukon University.

5.1 Research Mandate

The University, as the Territory's only post-secondary public educational institution, will, subject to certain conditions, actively undertake a range of research activities in the domains of "applied research", "Yukon and Northern Research"; and "technology transfer".

The primary conditions of such research activities will be:

- That the activities bear a demonstrable and positive relationship to the University's programs and services;
- That the research activities and facilities be generally in support of the social and economic development priorities of First Nations and the Territorial Government and private sector activities;
- That such activities not unfairly compete with private sector research activities; and
- That programming services of the University not be impaired.

5.2 Objectives of Research

The objectives of the research activities include, but are not limited to:

- Support of Yukon and Northern research, innovation, and the social and economic development priorities of First Nations and the Territorial Government through applied research;
- Support of private sector activities, through complementing research and provision of facilities for private sector research by non-educational private sector organizations;
- Support of First Nations and private sector research through partnerships and collaborative arrangements with First Nation groups and local private sector researchers;

- Enhancement of program services, including the development of research personnel for the local market;
 - Enhancement of University visibility,
 - Enhancement of private sector linkages,
 - Development of the North's scholarly capability, and
 - Motivation of staff.

5.3 Conditions of Research

The conditions of the University role in research are that:

- The activities bear a demonstrable and positive relationship to the University's program services and long-term goals;
- University students are involved in research and training activities to the extent possible;
- Programming services of the University are not impaired;
- Support of Yukon and Northern research;
- Support for Yukon and Northern innovation;
- Applied research and technology transfer is locally applicable and in support of private sector business activity, First Nations services and public sector services;
- The activities are of sufficient high quality to enhance the reputation of the University, while developing research capability in the Territory;
- Overall cost recovery is assured;
- Appropriate contractual arrangements are made identifying financial aspects, responsibility and liability levels, status of patents/industrial designs and copyright, incentives and compensation to University staff and students, etc.;
- Planning approvals and financing arrangements are completed prior to the commencement of any activity/project;
- The University does not compete unfairly with private sector non-educational organizations (private sector educational organizations operate in the same domain as the University, and, as such the University should not be constrained from competing with such organizations); and
- All activities/services conform to University policies and practices.

5.4 Ethical Research Principles

All research activities undertaken at the University will be guided by the following: Yukon University policies - AR-02 Research Integrity Policy Ethical Principles, AR-03 Research Ethics Policy and the Protocols and Principles for Conducting Research with Yukon First Nations.

6. Problem Solving

Any questions or concerns arising out of the intent, content, implementation, or communication of this policy should be reported to the Vice-President, Research.

Where a concern or dispute arises from a decision made as a result of applying or enforcing this policy, the Vice-President, Research should be notified as soon as possible after the decision has been made. In such cases all reasonable attempts should be made to resolve any disagreements cooperatively with the Vice- President, Research or designate. Where informal resolution is not possible, the matter will be resolved under the authority of the Senate following the procedures described in the relevant sections of the Yukon University Academic Regulations and Procedures.

Where a concern or dispute arises over matters of integrity in research and scholarly activities, such concerns will be addressed by following the procedures described in the relevant sections of Yukon University policy, AR-02 Research Integrity.

Where a concern or dispute arises over matters of ethics in research and scholarly activities, researchers have the right to request a reconsideration of any decisions made that affect their research project. In such cases the relevant sections of Yukon University policy, AR-03 Research Ethics Policy apply.

Where a concern or dispute arises over a matter of animal welfare in research and scholarly activities, a Principal Investigator (PI) may appeal a decision by following the procedures described in Yukon University policy, AR-04 Animal Welfare Policy.

Where a dispute(s) arises over the attribution of academic credit and/or Intellectual Property (IP) rights created in the course of performing research and scholarly activities, contributors are required to seek advice and informal resolution of the matter if possible, from the Vice-President, Research or designate. In such cases the procedures defined in Yukon University policy, AR-05 Intellectual Property Policy apply.

7. Other Related and/or Accompanying Documents

Addendum A - Policy Communication Checklist

ADDENDUM A - POLICY COMMUNICATION CHECKLIST

Policy Name: University Role in Research

Number: AR 1.0

Submitted by: Clint Sawicki

List those consulted with in preparation of this policy:

Name	Department	Date
YC Staff	All	May 2014

The order for communication and/or consultation for a new or revised policy is as follows:

1. SEC – initial review and recommendations from SEC membership;
2. Identified stakeholders within Yukon College in order of priority – see below;
3. SEC – to be briefed on any issues arising out of stakeholder consultations;
4. Staff – SEC members to bring policy to their staff for feedback (*SEC member introducing this policy is responsible for sending to SEC, requesting that it be circulated to their staff for feedback*);
5. SEC – final draft supported by SEC membership and approved by the President.

This checklist must be completed prior to the final draft of a policy being presented to SEC for presidential approval.

Body	Communication Planned	Completed	Comments
SEC	Send to YC Staff	May 2014	
Student Union			
Employee's Union			
Occupational Health and Safety			
Academic Council			
Board or a Board subcommittee			
Other	YC Staff and Faculty	May 2014	
SEC for Final Review	Final approval	June 2014	